

11/09/01  
JCS68 U.S. PTO

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12-10-01

PTO/SB/05 (08-00) (modified)

Approved for use through 9/30/2001, OMB 0651-0032

Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

# NEW UTILITY PATENT APPLICATION TRANSMITTAL

*(only for new nonprovisional applications under  
37 CFR 1.53(b))*

Attorney Docket Number	18536-06512
First Named Inventor	Harry E. Shisler
Title	Platform-Independent Programmable Batch Processing Engine
Express Mail Label No.	EL566298835US

APPLICATION ELEMENTS		ACCOMPANYING APPLICATION PARTS	
1. <input checked="" type="checkbox"/> Fee Transmittal Form (in duplicate)		7. <input type="checkbox"/> Assignment Papers (cover sheet & document(s))	
2. <input type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27		8. <input type="checkbox"/> Certified Copy of Priority Document(s) ( <i>if foreign priority is claimed</i> )	
3. <input checked="" type="checkbox"/> Specification	Total Pages 42	9. <input type="checkbox"/> Power of Attorney or Authorization of Agent	
<i>(preferred arrangement set forth below)</i>		10. <input type="checkbox"/> 37 CFR 3.73(b) Statement	
■ Descriptive Title of the Invention		11. <input type="checkbox"/> Preliminary Amendment	
■ Cross Reference(s) to Related Case(s)		12. <input type="checkbox"/> Information Disclosure Statement & PTO-1449	<input type="checkbox"/> Copies of IDS Citation(s)
■ Statement Regarding Fed sponsored R & D		13. <input checked="" type="checkbox"/> Nonpublication Request under 35 U.S.C. 122	
■ Background of the Invention		(b)(2)(B)(i). Applicant must attach form	
■ Brief Summary of the Invention		PTO/SB/35 or its equivalent	
■ Brief Description of the Drawing(s)		14. <input checked="" type="checkbox"/> Return Postcard	
■ Detailed Description		15. <input type="checkbox"/>	
■ Claim or Claims		16. <input type="checkbox"/>	
■ Abstract of the Disclosure		17. <input type="checkbox"/>	
4. <input checked="" type="checkbox"/> Drawing(s) (35 U.S.C. 113)	Total Sheets 43		
5. Oath or Declaration			
a. <input type="checkbox"/> New Declaration	Total Pages 3		
<input type="checkbox"/> Executed (original or copy)			
b. <input checked="" type="checkbox"/> Copy from a prior application (37 CFR 1.63(d)) <i>(for continuation/divisional with Box 18 completed)</i>			
i. <input type="checkbox"/> DELETION OF INVENTOR(S) Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).			
6. <input type="checkbox"/> Application Data Sheet. See 37 CFR 1.76			
<b>ADDRESS TO:</b> Box Patent Application Commissioner for Patents Washington, D.C. 20231			

18. If a CONTINUING APPLICATION, check appropriate box and supply the requisite information below and in a preliminary amendment or in an Application Data Sheet under 37 CFR 1.76:

Continuation  Divisional  Continuation-in-part (CIP) of prior application No: 08/743,201

Prior application information: Examiner: Tod Kupstas Group/Art Unit: 2757

For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuing or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

## 19. CORRESPONDENCE ADDRESS



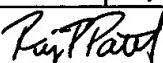
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Name (Print/Type)	Rajiv P. Patel	Registration No. (Attorney/Agent)	39,327
Signature			Date Nov. 6, 2001

0002/PTO(modified) Rev. 10/2001	U.S. Department of Commerce Patent and Trademark Office	<i>Complete if Known</i>	
<b>FEE TRANSMITTAL</b>		Application Number	
<b>TOTAL AMOUNT OF PAYMENT</b>		Filing Date	November 6, 2001
Subtotal (1) + Subtotal (2) + Subtotal (3) = <b>\$ 842.00</b>		First Named Inventor	Harry E. Shisler
		Group Art Unit	Not Yet Assigned
		Examiner Name	Not Yet Assigned
		Attorney Docket Number	18536-06512

<b>METHOD OF PAYMENT</b>		<b>FEES CALCULATION (continued)</b>																																																																																																																																																																				
<b>1. The Commissioner is hereby authorized to:</b> <input type="checkbox"/> Charge the indicated fees to the below mentioned deposit account. <input checked="" type="checkbox"/> Charge any additional fee required under 37 CFR 1.16 - 1.21 or credit any over payments to the below mentioned deposit account. <sup>†</sup> <input type="checkbox"/> Applicant claims small entity status See 37 CFR 1.27		<b>3. 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<b>SUBMITTED BY</b>		<i>Complete (If applicable)</i>	
Typed or Printed Name	Rajiv P. Patel	Reg. Number	39,327
Signature		Date	Nov. 6, 2001

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

**NONPUBLICATION REQUEST  
UNDER  
35 U.S.C. 122(b)(2)(B)(i)**

First Named Inventor	Harry E. Shisler
Title	Platform-Independent Programmable Batch Processing Engine
Atty Docket Number	18536-06512

I hereby certify that the invention disclosed in the attached application **has not been and will not be** the subject of an application filed in another country, or under a multilateral agreement, that requires publication at eighteen months after filing. I hereby request that the attached application not be published under 35 U.S.C. 122(b).

Nov. 6, 2001

Date



Signature

Rajiv P. Patel / 39,327

Typed or printed name/Registration Number

This request must be signed in compliance with 37 CFR 1.33(b) and submitted with the application **upon filing**.

Applicant may rescind this nonpublication request at any time. If applicant rescinds a request that an application not be published under 35 U.S.C. 122(b), the application will be scheduled for publication at eighteen months from the earliest claimed filing date for which a benefit is claimed.

If applicant subsequently files an application directed to the invention disclosed in the attached application in another country, or under a multilateral international agreement, that requires publication of applications eighteen months after filing, the applicant **must** notify the United States Patent and Trademark Office of such filing within forty-five (45) days after the date of the filing of such foreign or international application. **Failure to do so will result in abandonment of this application (35 U.S.C. 122(b)(2)(B)(iii)).**

Burden Hour Statement: This collection of information is required by 37 CFR 1.213(a). The information is used by the public to request that an application not be published under 35 U.S.C. 122(b) (and the PTO to process that request). Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This form is estimated to take 6 minutes to complete. This time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, Washington, DC 20231.